# whypolitics Matters: AN INTRODUCTION TO POLITICAL SCIENCE









## kevin dooley

joseph patten

## WHY POLITICS MATTERS: AN INTRODUCTION TO POLITICAL SCIENCE, SECOND EDITION

## WHY POLITICS MATTERS: AN INTRODUCTION TO POLITICAL SCIENCE, SECOND EDITION

## Kevin L. Dooley

Dean of the Honors School Associate Professor of Political Science Monmouth University

## Joseph N. Patten

Chair of the Department of Political Science and Sociology Associate Professor of Political Science Monmouth University



Australia • Brazil • Japan • Korea • Mexico • Singapore • Spain • United Kingdom • United States

This is an electronic version of the print textbook. Due to electronic rights restrictions, some third party content may be suppressed. Editorial review has deemed that any suppressed content does not materially affect the overall learning experience. The publisher reserves the right to remove content from this title at any time if subsequent rights restrictions require it. For valuable information on pricing, previous editions, changes to current editions, and alternate formats, please visit <u>www.cengage.com/highered</u> to search by ISBN#, author, title, or keyword for materials in your areas of interest.



#### Why Politics Matters: An Introduction to Political Science, 2e Kevin L. Dooley, Joseph N. Patten

Product Director: Suzanne Jeans Product Team Manager: Carolyn Merrill

Associate Product Manager: Scott Greenan

Content Developer: Rebecca Green

Content Coordinator: Jessica Wang

Product Assistant: Abigail Hess

Senior Media Developer: Laura Hildebrand

Marketing Manager: Valerie Hartman

Senior Content Project Manager: Jessica Rasile

Senior Art Director: Linda May

Print Buyer: Fola Orekoya

Senior Rights Acquisition Specialist: Jennifer Meyer Dare

Production Service/Compositor: S4Carlisle Publishing Services

Text Designer: KeDesign

Cover Designer: Rokusek Design

Cover Image: Patrick Baz/Staff/©Getty Images Justin Guariglia/National

Geographic/©Getty Images Milos Bicanski/Contributor/©Getty Images AP Photo/Andy Manis

#### © 2015 Cengage Learning

#### WCN: 02-200-203

ALL RIGHTS RESERVED. No part of this work covered by the copyright herein may be reproduced, transmitted, stored, or used in any form or by any means graphic, electronic, or mechanical, including but not limited to photocopying, recording, scanning, digitizing, taping, Web distribution, information networks, or information storage and retrieval systems, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without the prior written permission of the publisher.

For product information and technology assistance, contact us at Cengage Learning Customer & Sales Support, 1-800-354-9706

For permission to use material from this text or product, submit all requests online at **www.cengage.com/permissions** Further permissions questions can be e-mailed to **permissionrequest@cengage.com.** 

Library of Congress Control Number: 2013942444

ISBN-13: 978-1-285-43764-4 ISBN-10: 1-285-43764-0

#### **Cengage Learning**

200 First Stamford Place, 4th Floor Stamford, CT 06902 USA

Cengage Learning is a leading provider of customized learning solutions with office locations around the globe, including Singapore, the United Kingdom, Australia, Mexico, Brazil and Japan. Locate your local office at **international.cengage.com/region** 

Cengage Learning products are represented in Canada by Nelson Education, Ltd.

For your course and learning solutions, visit www.cengage.com.

Purchase any of our products at your local college store or at our preferred online store **www.cengagebrain.com**.

**Instructors:** Please visit login.cengage.com and log in to access instructor-specific resources.

Printed in Canada 1 2 3 4 5 6 7 15 14 13 12 11

# DEDICATION

To my wife Lauren and our children Jack and Megan. —K. L. D.

To my parents, Veena, Nikhil, Jaya, and to the memory of U.S. Congressman Edward J. Patten.

—J. N. P.

## BRIEF CONTENTS

**1 WHY POLITICS MATTERS** 1

## PART I: POLITICAL THEORY

- **2** ANCIENT POLITICAL THEORY 22
- **3 MODERN POLITICAL THEORY** 52

## PART II: COMPARING GOVERNMENTS

- **4** THE AMERICAN GOVERNMENT 83
- **5** COMPARING LEGISLATURES 120
- **6** COMPARING DEMOCRATIC EXECUTIVES 162
- **7** COMPARING JUDICIAL SYSTEMS 186
- **8 AUTHORITARIAN STATES** 215

## PART III: INTERNATIONAL RELATIONS

- **9** UNDERSTANDING INTERNATIONAL RELATIONS: TERMS AND THEORIES 249
- **10** WAR, DIPLOMACY, AND THE BEGINNING OF INTERNATIONAL RELATIONS 285
- **11** THE RISE OF THE UNITED STATES AND THE EMERGING GLOBAL ORDER 311

## CONTENTS

## PREFACE xix

## WHY POLITICS MATTERS

Introduction: What is Political Science? 2

Political Science as the Study of Power 5

Theory and Practice: Do Female Justices View Legal CasesDifferently Than Male Justices?9Political Power in International Affairs10Theory and Practice: How You Can Engage Politics

Through Policy Debate 12

Political Science as an Academic Discipline 14

Approaches to Political Science 15 Why Politics Matters to YOU! 16

Why Politics Matters to You! Your Cell Phone and the Democratic

Republic of Congo16Theory and Practice18Introducing You to the Field19

### CourseReader

"Bowling Alone: The Collapse and Revival of American Community" by Robert D. Putnam 6
"Demystifying the Arab Spring: Parsing the Differences Between Tunisia, Egypt, and Libya" by Lisa Anderson 11
"Political Science in the United States: Past and Present" by David Easton 20

## PART I: POLITICAL THEORY

## 2 ANCIENT POLITICAL THEORY 2.

Introduction: How Ancient Political Thought Impacts Us Today 23 The Ionians 24 Theory of Becoming 24 Theory and Practice: How Did the Greeks Influence the American Founding Fathers? 25 Theory of Being 26 The Sophists 26

#### Socrates 27

Why Politics Matters to You! The Socratic Teaching Method 28 Theory and Practice: Civil Disobedience After Socrates: Thoreau (1817–1862), Gandhi (1869–1948), and King (1929–1968) 31

#### Plato 32

The Republic 34 Happiness in the Republic and the Human Soul 35

Theory and Practice: Wealth of U.S. Members of Congress 36 Theory and Practice: The Greek Influence on Early Christian Thought: St. Augustine and St. Thomas Aquinas 38 Plato's Theory of Forms 38 Allegory of the Cave 39

**Theory and Practice:** The Republic and American Democracy40Gradations of Happiness in the Republic and the Human Soul41

#### Aristotle 44

The Natural State 44

Theory and Practice: The Greeks, the Roman Empire, and the Incorporation of Law into the State 45 Aristotle's Theory of Forms and Happiness 47

Theory of Mixed Constitutions 48

Theory and Practice: Does Democracy Require a Strong Middle Class? 49

## CourseReader

"Civil Disobedience": by Henry David Thoreau 32 Politics, Book 6: by Aristotle 46

## **3** MODERN POLITICAL THEORY 52

Introduction: The Origins of Modern Political Thought 53 Niccoló Machiavelli 54 The Prince as Lion and Fox 58 Theory and Practice: Modern Leaders and the Use of Violence: Kim Jong-Un and Saddam Hussein 59 Theory and Practice: Karl Rove: The Mayberry Machiavelli 62 Theory and Practice: The Fusion of Politics and Religion in Iran 64 Thomas Hobbes 65 Hobbes Versus the Greeks: Is There an Objective Truth? 67 Hobbes's View of Human Nature 68 Hobbes's Social Contract Theory 69 Theory and Practice: Realism and Liberalism in International Relations 70 Why Politics Matters to You! Prisoner's Dilemma: Are You More Competitive than Cooperative? 71 John Locke 73 Theory and Practice: John Locke and the U.S. Declaration of Independence 74

Locke and Human Nature 75 Why Politics Matters to You! John Locke, Mary Wollstonecraft, and the Expansion of Women's Rights 76 Property Rights in the State of Nature 77 Theory and Practice: Karl Marx and Communism 78 Theory and Practice: Jean-Jacques Rousseau: The Last Great Social Contract Theorist 79

Locke's Social Contract 80

## CourseReader

Leviathan: by Thomas Hobbes 66
The Second Treatise of Civil Government, Chapter II: Of the State of Nature by John Locke 73
"Declaration of Sentiments." History of Woman Suffrage. Ed. Elizabeth Cady Stanton, Susan B. Anthony, and Matilda Joslyn Gage. Vol. 1. 1881. 70–71 77

## PART II: COMPARING GOVERNMENTS

## **4** THE AMERICAN GOVERNMENT 83

Introduction: The Origins of American Democracy 84 The Articles of Confederation: The Failed Experiment 85 Theory and Practice: The Tea Party Then and Now 85 The Constitutional Convention 89 Congress and Representation: Large Versus Small States 92 Why Politics Matters to You! The Expansion of Political Rights: The Voting Rights Act of 1965 94 The Three Principles of the U.S. Constitution 96 The Growth of Federal Power 101 McCulloch vs. Maryland: The Elastic Clause and the Expansion of Federal Power 101 The Constitution and Interstate Relations 103 Why Politics Matters to You! The Constitution: College Tuition and Child Custody Cases 105 Ratifying the Constitution 105 Theory and Practice: From Factions to Party: The Evolution of the American Political Party System 106 The Federalist Papers: Madison, Hamilton, and Jay 108 Theory and Practice: The Impeachment of President Bill Clinton and President Andrew Johnson 109 Why Politics Matters to You! Do You Belong to a Political Party? 113 Adopting the Constituion 115 Amending the Constitution 117



An Economic Interpretation of the Constitution by Charles A. Beard 91 McCulloch v. Maryland by John Marshall 103 Federalist Paper No. 10 114 Excerpt of Democracy in America by Alexis de Tocqueville 116

## **5** COMPARING LEGISLATURES 120

Introduction: The Importance of National Legislatures 121 The Creation of the American Congress 121 Congressional Powers 122 Theory and Practice: The War Powers Act: Drones and Warfare in Pakistan 123 The Structure of Congress: The House and the Senate 124 Theory and Practice: Midterm Losses in the House of **Representatives: Term Limits, the American Congress, and** the U.S. Supreme Court 126 Theory and Practice: The Filibuster and the Nuclear Option 131 The Composition of Congress and the Expansion of Democratic Rights 133 Theory and Practice: Are Female Legislators More Productive Than Male Legislators? 135 Congressional Decision Making 135 The Electoral Connection 135 Congress and Home Style 136 The Electoral Connection and Partisan Gerrymandering 137 Other Explanations of Congressional Voting Behavior 138 Theory and Practice: Locking Up the Vote 139 How a Bill Becomes a Law 141 Why Politics Matters to You! Congress and the Affordable Health Care Act of 2010: What Does It Mean for College-Age Students? 143 Presidential Versus Parliamentary Systems of Government 145 Bicameral Versus Unicameral Legislatures 146 Bicameral Legislatures 147 Theory and Practice: How the Past Can Impact Policy 149 Why Politics Matters to You! Parliamentary Supremacy or Judicial Review? 150 Unicameral Legislatures: One Voice, One House 152 Theory and Practice: Two Houses Might Not Be Better Than One 152 Theory and Practice: Ukraine: Look to the East or to the West? 157 Are Two Houses Better Than One? 160



Congress: The Electoral Connection: by David Mayhew. 137 "Home Style": by Richard Fenno. 138 "Pelosi's Bill: How She Did It" by Richard E. Cohen 145 Viktor Yushchenko's Inaugural Address, January 25, 2005 156

### **6** COMPARING DEMOCRATIC EXECUTIVES 162

Introduction: Executives: Presidents and Prime Ministers 163 Presidents: The Pros and Cons of Independent Executives 163 Debating the Executive: Rule by Individual or Executive Council 165 The Constitution and the Powers of the American President 167 Commander-in-Chief Power 168 Treaty Powers 168 Pardon Powers 169 The Appointment Power 169 The Veto Power 169 Presidential Prerogative Powers 169 The American Executive in Comparative Politics 170 The Prime Minister: First Among Equals 170 Prime Ministers: The Pros and Cons of Parliamentary Executives 170 The Model of Parliamentary Systems: The United Kingdom 171 Theory and Practice: An Independent Prime Minister? 173 Theory and Practice: 2010: The Hung Parliament and Mixed Cabinet 175 Looking Ahead: Newer Democracies in Comparative Context 177 Theory and Practice: Parliamentary or Presidential? 178 The World's Largest Democracy: India 178 Theory and Practice: Electing Presidents: The United States, India, and the Electoral College 182

## COMPARING JUDICIAL SYSTEMS 186

Introduction 187

Judicial Review Versus Legislative Supremacy 187

Theory and Practice: Judicial Activism Versus Judicial Restraint 189

Judicial Review in the United States 190

Theory and Practice: Double Jeopardy—From Ancient Greece to Hollywood 191

The American Judiciary and Federalism 194

Differences Between Federal and State Courts 196

 The Supreme Court's Role in Expanding Civil Rights
 199

 The Incorporation of the Bill of Rights into the States
 201

 Why Politics Matters to You! Federal–State Powers, the

 Interstate Commerce Clause, and the Americans with

 Disabilities Act of 1990
 202

 Theory and Practice: Federal–State Powers and the Regulation

 of Sexual Activity in the Twenty-first Century
 205

 Germany: A Good Model for Comparison
 206

 Starting at the Top: The German Federal Constitutional Court
 207

 Powers and Policy Implications
 208

 Why Delitice Methematic Methematics
 208

Why Politics Matters to You! The Power to Ban? Political Parties and the Germany Constitution 211

## CourseReader

*Federalist Paper # 78*: by Alexander Hamilton 196 *Lawrence v. Texas 206* 

## 8 AUTHORITARIAN STATES 215

Introduction: Authoritarianism, Power to the ... Rulers! 216 Authoritarianism: Intellectual and Religious Justifications 216

Theory and Practice: Justice: In the Interest of the Stronger Party 218

Modern Justifications: Power And Security 219 Machiavelli and Hobbes 219

Why Politics Matters to You! Give Me Liberty or Give Me . . . More Security? 220

Contemporary Authoritarianism: Saudi Arabia, North Korea, and China 221 General Characteristics of Authoritarian Regimes 221

Why Politics Matters to You! Fascism by the Ballot Box223The Kingdom of Saudi Arabia227Why Politics Matters to You! Saudi Arabia: American Ally?231

The Democratic People's Republic of North Korea 232 Why Politics Matters to You! What If Deterrence Does Not Apply? 238 The People's Republic of China 239 Why Politics Matters to You! Facebook and Change

in the Middle East and North Africa 243

### CourseReader

"Authoritarianism in Pakistan": by Zoltan Barany 237 "Hitler's Propaganda Machine": by Roger Nelson 241

## PART III: INTERNATIONAL RELATIONS

## **9** UNDERSTANDING INTERNATIONAL RELATIONS: TERMS AND THEORIES 249

Introduction: International Relations 250 Classic Realism: Power in International Relations 252 Theory and Practice: Is Power Always Violent? 253 Core Assumptions of Classic Realism 255 Classic Realists: The Thinkers 256 From Classic Realism to Structural Realism: Morgenthau to Waltz 258 Why Politics Matters to You! Hard or Soft Power? The United States and Defense Spending 259 Hans Morgenthau: Classical Realism for the Modern Era 260 Kenneth Waltz: Structural Realism 261 Three Levels of Analysis 261 Theory and Practice: Using the Three Levels of Analysis: The Case of the Rwandan Genocide 263 Liberalism 265 Neoliberalism: Cooperation May Come from Self-Interest 267 Theory and Practice: So Who Is Right, Realists or Liberals? 269 Radicalism: Marxism-Leninism, Dependency Theory, and Neo-Marxism 270 Marxism 270 Theory and Practice: The Rwandan Genocide from the Marxist Perspective 274 Dependency Theory 275 Why Politics Matters to You! Sweatshop Labor in Bangladesh: Development or Disaster? 276 Theory and Practice: Dependency Theory and the Latin American Debt Crisis of the 1980s 278 Neo-Marxism: Michael Hardt and Antonio Negri 279 Why Politics Matters to You! Why So Poor? 280 Constructivism: We Shape Our Own Experiences About The World 281

## CourseReader

Barack Obama's Speech upon acceptance of the Nobel Peace Prize, Oslo, Norway, 2009 267
Communist Manifesto: by Marx and Engels (excerpt), 1848 273

## WAR, DIPLOMACY, AND THE BEGINNING OF INTERNATIONAL RELATIONS 285

Introduction: Understanding the Past to Make Sense of the Present 286 Why Europe? An Important Question to Begin 287 Raison D'état: A New Understanding of International Relations 288 Theory and Practice: What Drives Foreign Policy: Church or State? 290 Balance of Power and the Rise of the State System 292 Theory and Practice: Grotius and the Rule of Law 294 The Nineteenth Century And The Concert Of Europe: Preemptive Balance of Power 295 Why Politics Matters to You! What Would You Have Done with France? 296 Theory and Practice: The Balance of Power Updated? 298 Bismarck, The Rise of Germany, and the End of the Concert of Europe 300 Why Politics Matters to You! How Well Do You Understand Foreign Policy? 302 Collective Security: The League of Nations and the Outbreak of World War II 304 Correcting the League and Confronting a New World: The United Nations and the End of World War II 306 Theory and Practice: Should We Reconfigure the UN Security Council? 309 CourseReader

"For a New Concert of Europe." Commentary 101.1 (1996): 30+, by Mark Helprin 298 War Message: "Making the World Safe for Democracy" speech: by Woodrow Wilson 303

## THE RISE OF THE UNITED STATES AND THE **EMERGING GLOBAL ORDER 311**

Introduction: Contemporary International Relations 312 Nuclear Security and Cold War Politics: Containment and Deterrence 313 Deterrence 313 Containment 314 Theory and Practice: Containment and Deterrence Today? 315 The Last Days of Communism and the Soviet Union 316 Post-Cold War Instability: Globalization and the Rise of the Nonstate Actor 316 Understanding Globalization 317

Why Politics Matters to You! Globalization of Pop Culture 318 Neoliberalism and the Power of Multinational Corporations 319

Why Politics Matters to You! Where Did Your Sneakers
Come From? 320
Transnational Terrorism 323
Theory and Practice: When Is One a Terrorist and One a Criminal? 324
Why Politics Matters to You! Nuclear Proliferation and a New Threat of Nuclear War 327
Nongovernmental Organizations: Private Cooperation 328
Theory and Practice: An NGO in Action: Doctors Without Borders
Médecins Sans Frontières 331
The Verdict on Globalization: The Good and the Bad 331
Friedman and Zakaria: The World is Flat and Getting Flatter! 332

Theory and Practice: Google Versus China333Stiglitz: Globalization and Its Discontents333

CourseReader

"The Clash of Civilizations," Foreign Affairs, Summer 1993, by Samuel P. Huntington 325

GLOSSARY 337

### END NOTES 348

**INDEX 371** 

# PREFACE

## WHY POLITICS SHOULD MATTER TO YOU

With the start of each new semester comes the initial thrill of meeting new students enrolled in our introduction to political science course. At Monmouth University, where we teach, the introductory course has always been considered the "gateway" to the discipline—a general education requirement that attracts majors from across the academic spectrum. We meet students studying everything from accounting, marketing, and management to anthropology, biology, and music. Thus, the learning environment includes a wide variety of interesting and creative students majoring primarily in subjects other than political science. Although each section will also have a number of politically active, politically motivated undergrads, the classroom is mainly filled with non-majors. In many ways, it was this reality that made us consider not only how *we* were teaching this course, but also how we could design an appropriate text for students from all academic disciplines.

## **Student Engagement Matters**

Many of the books for this course are written for political science majors with a particular emphasis on comparative politics. But for today's students, a mix of majors and non-majors, and those completely new to political science, we wanted to offer a broader understanding of the discipline and an opportunity for you to discover which areas of political science are most interesting to you. Our solution was to design a curriculum that would keep both groups engaged in the learning process, major and non-major alike, by taking a "bigpicture" approach, evenly surveying the major areas within the field of political science, and emphasizing how the theoretical concepts of the discipline play out in the world around us.

The first thing you may have noticed about this book is this unique organization. We work outward from the student:

• **Part I: Political Theory:** You will learn about the foundations of ancient and modern political thought. This is an important place to start because it is here that the philosophical foundations for modern governments are formed. We believe it is crucial for you to have a general understanding of key political

theorists in order for you to more effectively assess and critique the behavior of governments in our modern world.

**Part II: Comparing Governments:** Chapter 4 looks at American government and builds on the previous section by examining how the American Framers were influenced by ancient Greek and modern political philosophers when they drafted the American Constitution. Here you will become more familiar with how these political theorists influenced the structure of the American system of government. This section is given special emphasis because it was the "American experiment" toward popular government that ignited democratic fires across the globe, which continues to shape today's political landscape. It is also the system the majority of our readers will be most familiar with and is therefore a good springboard into our examination and comparison of other government structures around the world.

In Chapters 5 through 8, you will learn about how the world's political systems are organized, where they differ and are alike, and how they tackle universal issues and those unique to their own particular societies. We will compare the foundations, structures, and modern issues associated with democratic legislatures, executives, and judicial systems. Then we take an indepth look at authoritarian states and how they differ.

 Part III: International Relations: You will learn how the wide array of political systems and cultures interact with one another in our modern international system. Having a broad understanding of political systems and distinct regional cultures will shed light on why nations fight wars, what we can do to try to prevent them, and the challenges and opportunities we face as we attempt to solve global issues.

These sectional stepping-stones from political theory, to American government and comparative politics, to international relations build upon each other, producing a scholar who is able to balance the knowledge of the domestic with that of the global. You will then be given the opportunity to reflect upon all of the issues previously covered in the text and, upon completion, you will possess the skills to see the world through the intellectual prism of the entire field of political science. We've found that this is an accessible and intuitive organization for students.

This foundational framework paired with (1) a focus on application and critical thinking, (2) excerpts from the classic and contemporary thinkers who shaped this discipline, (3) the latest global events, and (4) vibrant illustrations bring political science to life! It is because we take so much pleasure in teaching political science to students from all academic majors that made writing this book a labor of love. It's fun to convey these important themes

to our students, and we believe reading this book will be a stimulating, eyeopening, and enjoyable experience for you as well, as we set out to prove in the pages that follow.

## **Participation Matters**

At the heart of this book, we strive to answer the questions we sometimes hear in the introduction to political science classroom: *Why do I have to take this course? I'm not a political science major and I don't plan to work in government, so why should this matter to me?* The answers surround each and every one of us, whether we know it or not. An understanding of politics is necessary for participation in a globalized world, a concept we stress in the book's opening chapter, "Why Politics Matters," and throughout with a special feature entitled "Why Politics Matters to You!" You will come away aware of the challenges of the twenty-first century and with a new perspective on where political beliefs come from. You will be able to identify the opportunities available to you through which you can make an impact, and you will possess a strong confidence in your overview of the field at the book's completion. You are enthusiastically invited to join in this dialog. We've written the book in a conversational style, with thought-provoking questions at every turn.

## Why Theory Matters—Applying Theory to Today!

Throughout, we'll look into the relationship between the theoretical underpinnings (theory) and the formation and interplay of political entities (practice). This theme is emphasized throughout the narrative and within special "Theory and Practice" features in every chapter, with the use of integrated readings through CourseReader. We highlight this important concept to encourage critical thought when assessing and interpreting our political world, as well as to help you apply these concepts to your life. You will come away with not just a series of case studies, but with the tools you'll need to analyze and affect your political surroundings well into the future.

## NEW TO THIS EDITION

Based on reviewer feedback, the second edition of *Why Politics Matters* has been streamlined and completely reorganized to integrate the American

government coverage into a comparative politics context. While maintaining U.S. coverage as a foundation of understanding for students, Part II, "Comparing Governments," now examines world governments in a comparative framework. Chapter 4 on the founding of American government is retained within this part, opening the discussion. Then, the following chapters examine governing institutions within a comparative framework, tackling democratic legislatures (Chapter 5), executives (Chapter 6), and judiciaries (Chapter 7) before examining authoritarian states in Chapter 8. This reorganization results in two fewer chapters in the second edition, creating manageable reading assignments and making the subject even more accessible for the introductory course.

The book has also been updated throughout to include changes in world leadership, the 2012 U.S. elections, the state of the world economy, conflict in Syria and the Middle East, and developments in North Korea, as well as updated statistics and new figures, tables, maps, and photographs.

# **FEATURES** that **Teach**

Why Politics Matters brings the subject to life and encourages student interaction through engaging, conversational prose; a bold, full-color design with photographs, maps, figures, and visual timelines; and critical thinking questions in every boxed feature and throughout the narrative.

TABLE 1.1. Youth Voting: The Percentages of 18- to 29-Year-Old Citizens Who Voted in Recent Presidential Elections2				
	Caucasian (%)	African American (%)	Asian American (%)	Latino (%)
1992	53	42	38	38
1996	40	40	35	29
2000	41	41	32	30
2004	50	50	32	33
2008	51	60	41	40
2012	46	54	36	37



## "both visually and intellectually interesting"

*Keeley Mahanes,* Student at Northern Arizona University

"The first thing I enjoyed was the enthusiasm and passion the authors have towards their subject matter.... [they] do a good job in making a host of complex topics user friendly for college students."

## Cyrus Hayat,

Indiana University-Purdue University Indianapolis

Questions to Consider Before Reading this Chapter

- 1. What is power? What is the difference between hard power and soft power?
- 2. What are the three main assumptions of classical realism?
- 3. How can the three levels of analysis be useful to understanding issues of international conflict?
- Questions to Consider Before Reading This Chapter open every chapter, serving as learning objectives to guide students' reading.
- A marginal glossary defines key terms as students read, and lists of Key Terms and Key People at the end of every chapter help students review the material.

# THEORY and Practice

#### THEORY AND PRACTICE

The Greeks, the Roman Empire, and the Incorporation of Law into the State

The power of Aristotle's works prompted the Roman statesman Marcus Tullius Cicero (106–43 BCE) to refer to them as "a river of gold" centuries later. Cicero was a Roman statesman and philosopher who was an important link in the Greco-Roman tradition in that it was in part through Cicero that Greek philosophy came to play a role in the governing structure of the Roman Empire. In the Republic and Laws, Cicero advocated for a mixed constitution in the Roman Republic, consisting of the (1) consuls as the regional power, (2) the senate as the aristocratic power, and (3) the popular assembly.<sup>43</sup> Cicero's call for an aristocratic republic also influenced many of the American Founding Fathers.

Whereas Plato argued that justice in the republic should be maintained through the leadership of the philosopher-king, Cicero instead argued that law, not philosophers, should guide the behavior of government. Cicero argued that leaders of society should be, above all else, knowledgeable in the realms of **natural law** and **positive law**. He called for a unity between law and politics so that the political leader "is a speaking law, and the law a silent magistrate."<sup>44</sup> It is in part because of Cicero's emphasis on incorporating law into the State that many of the American Framers advocated creating a nation based on natural law.

Can you think of any existing law that places the well-being of special-interest groups over the public good? If so, how would you change this law, and how would this change improve our society? Theory and Practice features demonstrate how political thought directly influences modern-day situations, institutions, and policies, in turn teaching students how to apply overarching political ideas to the current events and political practice around them and analyze both.

## "I think it is vital to show how these theories

work in practice.... I really like how this textbook is organized and written. It highlights the different sub-fields of political science giving equal time and energy to each, while providing excellent resources and tools within the textbook for the instructor to use. The book reflects my own philosophy of teaching an Intro to Politics course."

### Ryan Fitzharris,

Pima Community College

## "It does a great job relating the ideas to current issues, which makes it seem relevant and therefore engaging."

### Kaitlyn Andrey,

Student at Bloomsburg University of Pennsylvania

## WHY POLITICS MATTERS to YOU!

WHY Prisoner's Dilemma: POLITICS Are you more competitive than cooperative TO YOU! obbes argued that civil society will inevitably degenerate into civil war and Hobbes argued that civil society with inclusion and the death because people in the precontract state will not cooperate with each other out of a fear that placing trust in an untrustworthy person could have disastrous impacts. It is based on this finding that Hobbes argued we are by nature more competitive than cooperative. In 1950, Merrill Flood and Melvin Dresher from the Rand Corporation developed the prisoner's dilemma game theory to illustrate that people sometimes will not cooperate with each other even when it is their best interest to do so. The prisoner's dilemma has been widely applied in the field of international relations and is highlighted again in Chapter 9. Are you by nature a competitive or cooperative person? Pretend you and a classmate joined forces in robbing a bank. The two of you are later apprehended and brought to the police station for questioning. Because the police officers only have circumstantial evidence against you, they need to solicit a confession in order to ensure a conviction. One police officer takes you into an interrogation room while another police officer takes your classmate into an adjoining room. You are then each Student A informed that it is in your best interest to cooperate with the investigation by Talk Quiet admitting that you and your classmate were involved in the robbery. You then learn that if both you and your classmate 5 years vears remain quiet you will each serve one year in prison. If you Talk each B = 0and your classmate both confess, you will each serve five years years in prison. If one confesses and the other remains Student B A = 0quiet, the one who confesses will go free while the one who years remains quiet will serve 10 years in prison B = 10each What would you choose to do?

 Why Politics Matters to You! features show students how they can get involved and how politics and government are influential in their lives.

"It's clear that this approach works... by **showing students how politics** relates to their lives and actually matters....

I really liked the material. I think the students liked it as well because the exam I gave them over the material gave me the highest average for a quiz/exam for the semester."

### John Shively,

Longview Community College

"An engaging text with easy readability, leaving you with not only answers but the **desire to learn more, know more, and do more.**"

### Jaqueline P. Hess,

Student at San Diego Mesa College

# **COURSEREADER** Assignments

### CourseReader ASSIGNMENT

Log in to www.cengagebrain.com and open CourseReader to access the reading:

#### "Bowling Alone: The Collapse and Revival of American Community" by Robert D. Putnam

Robert Putnam reminds us that political systems need to be fortified by strong social communities in this popular and important reading. Putnam examined over 500,000 interviews before concluding that Americans are increasingly "bowling alone" rather than joining bowling leagues and other social groups, and have been disengaging from political involvement. He warns that a

breakdown of social community can have a negative effect on our democracy.

 Do you agree that Americans are becoming more isolated and less inclined to join social groups? If so, why do you think this is occurring?
 Do you belong to any social groups?  CourseReader Assignments appear alongside the narrative, indicating where a primary-source reading is available to enhance the discussion.
 Each provides a summary of the reading and concludes with thought-provoking questions to help students identify the link between the selection and the chapter material.

"This online reader is an excellent idea. It allows the professor to individually design the class around what he/she considers most critical to the study." *Kevin Dockerty, Kalamazoo Valley Community College* 

"I really enjoyed the CourseReader readings because of the connection to the chapter. They allowed for the real world application to be seen." *Christine Ludolph,* 

Student at Northern Arizona University



### CourseReader 0-30: Introduction to Political Science

Why Politics Matters, 2e with Printed Access Card ISBN: 9781285437644 Printed Access Card ISBN: 9781133232162 Instant Access Code ISBN: 9781111479978

In addition to the overviews of important political science theories and quoted excerpts included in the book, we have selected certain readings that highlight the focus of each chapter. Assigning readings can often be a difficult process. Within each chapter, you will come across reading assignments that are easily accessible within the Cengage Learning CourseReader. We have designed the CourseReader selections to tie in seamlessly with the section material. Keeping in mind that we must make the most of the time today's busy students can allocate to extra reading, we've handpicked two to three selections per chapter that will add the most to their study, reinforce the concepts from the text, and help them apply what they've learned to events around them. You may assign the questions that accompany the readings as graded or completion-based homework or use them to spark in-class discussion.

CourseReader is an easy-to-use and affordable option to create an online collection of readings for your course, and this is the first and only introductory book to political science offering a customizable e-reader. You may assign the readings we've recommended for each chapter without any additional set-up, or you can choose to create and customize a reader specifically for your class from the thousands of text documents and media clips within CourseReader. You can also:

- add your own notes and highlight sections within a reading.
- edit the introductions to the readings.
- assign due dates using the pop-up calendar.
- easily organize your selections using the drag and drop feature.

You can view a demo of CourseReader at www.cengage.com/coursereader.

CourseReader	CourseReader
You searched for "Hamilton"	Annotate your documents using these tools:
spileyeg 1 - 12 of 12 results in all deciptions	Unversal Declaration of Human Rights, December 10, 1948 Inc.
Kell & Markel & Calledonian  Addition of Calledonian Addition of Calledonian Addition	Second and the second spin, Second St. 2017 (Second J. 1. Second J. 1. Second St. 1987)
	Blance B
Addeb Monitor 30 Political Virgin of the U.S. Founding Patters Patters Internet Process Test and Patters Patters Internet Process Test Adde Patters P	Energie Russenat, a delegate to the Union Values, use the clear of the convertee that packaged the Union Decimation in Numer Rights
Construction and All All	Preseite
Assty Reserve D Connections between the American and French Revolutions	VPEREAS acceptor of the interact digity and of the space and rationality spite of all members of the Noran family is the hundration of Needon, particle and peace in the vorial.
"Galandonos interpretinte como da del Francis Menadonis - Hanna e Dalante Co nede los conseguentos 104 °CE The Jonation Transitions (TELL-Hills Deline) III. Janeers Henra 2020 "Mena precisiona	VP-EXEAS decayed and concernsh for furner lights been required to better us and with their addinged the concernence of anomalic and the advant of a cost to check houses beings which apply that their functions are therein and provide their four four cash cash has been problemed as the highest application of the concernence people.
Anter to Shawer Tage Statistics They as a Radia Statistics (Statistics) (Statistic	In COLOR to a waveful from kind to a long the strategies of the anticided by the state of the induling speed speeds of appreciate. But have optic should be particulated by the state of the
2 (9 (4 x 1) (4 (10 x 1))	1940/1843 A is assertiate provincing because at Second and an and an and
Act to Revent a Starting and the American Resolution	Interfacial the program of the conset takes one have come dealers well invest the following Automated human option, in the dealey and worth affine human parsies and in the actual rights of men and unamen with their alternative programs accurate space and before sciencisms of the in-

## Why Politics Matters, 2e (Text Only)

ISBN: 9781285437675

The book is also available stand-alone, without the CourseReader Printed Access Card.

## Online PowerLecture with Cognero® for Why Politics Matter, 2e

### ISBN: 9781285775692

This PowerLecture is an all-in-one online multimedia resource for class preparation, presentation, and testing. Accessible through Cengage.com/login with your faculty account, you will find available for download: book-specific Microsoft® PowerPoint® presentations; a Test Bank in both Microsoft® Word® and Cognero® formats; an Instructor Manual; Microsoft® PowerPoint® Image Slides; and a JPEG Image Library.

- The Test Bank, offered in Microsoft<sup>®</sup> Word<sup>®</sup> and Cognero<sup>®</sup> formats, contains multiple-choice and essay questions for each chapter. Cognero<sup>®</sup> is a flexible, online system that allows you to author, edit, and manage Test Bank content for *Why Politics Matters*. Create multiple test versions instantly and deliver them through your learning management system (LMS) from your classroom, or wherever you may be, with no special installs or downloads required. The Test Bank for this edition is authored by Karen Shelby of the University of San Diego.
- The Instructor's Manual contains for each chapter: an outline and summary, critical thinking questions, in-class activities, lecture-launching suggestions, a list of key terms with definitions, and suggested readings and Web resources. The Instructor's Manual for this edition is authored by Robert Carroll of East-West University.
- The Microsoft<sup>®</sup> PowerPoint<sup>®</sup> presentations are ready-to-use, visual outlines of each chapter. These presentations are
  easily customized for your lectures and offered along with chapter-specific Microsoft<sup>®</sup> PowerPoint<sup>®</sup> Image Slides and
  JPEG Image Libraries.

**INSTRUCTORS,** access your Online PowerLecture by logging on to or creating your faculty account at **www.cengage.com/login**.

## Companion Web Site for Why Politics Matters, 2e

### ISBN: 9781285437712

This free Companion Web Site for *Why Politics Matters* accessible through cengagebrain.com allows access to chapter-specific interactive learning tools, including flashcards, quizzes, glossaries, and more. **STUDENTS**, access the free Companion Web Site through the Free Materials tab at **www.cengagebrain**.com/ISBN/1285437640.

## ACKNOWLEDGMENTS

We would like to thank the instructors who contributed their thoughtful reviews of the book in preparation for this second edition:

Robert Carroll Richard Foster Richard McDowell East-West University Fort Lewis College St. Gregory's University Arthur Morin Karen Shelby Utah Valley University University of San Diego We particularly appreciate the efforts of Professors Carroll and Shelby, who created the Instructor's Manual and Test Bank for this edition.

We would like to express our sincere gratitude to the following instructors whose constructive feedback through reviews, focus groups, and class tests helped shape the first edition:

George Agbango	Bloomsburg University	Tolga Demiryol	Quinnipiac College
Victor Aikhionbare	Palm Beach State College	Chad DeWaard	University of Tennessee
	5		•
Daniel Allen	Anderson University	Jack DiSarno	University of North Carolina,
Brian Anderson	Mississippi University for Women		Pembroke
Chad Atkinson	Wright State University	Kevin Dockerty	Kalamazoo Valley Community
Kyeonghi Baek	Buffalo State College		College
Donald Baker	Long Island University, C.W. Post	Tom Dolan	Columbus State University
Abdallah Battah	Minnesota State University,	Colleen Driscoll	Quinnipiac College
	Mankato	Charles Ellison	Ivy Tech Community College
Steven Bayne	Century College	Walle Engedayehu	Prairie View A & M University
Kenneth Bernier	Central New Mexico Community	Victor Eno	Florida A & M
	College	Jasmine Farrier	University of Louisville
Bradley Best	Buena Vista University	Ryan Fitzharris	Pima Community College
John Bing	Heidelberg College	Rick Foster	Fort Lewis College
Charles Boehmer	University of Texas El Paso	Amy Freedman	Long Island University, C.W. Post
Martin Bookbinder	Passaic County Community	Steve Garrison	Midwestern State University
	College	Donald Gawronski	Mesa Community College
Wendell Broadwell	Georgia Perimeter College	David Goldberg	College of DuPage
Matthew Burger	University of Maryland	Hans Hacker	Arkansas State University
Peng-Khuan Chong	Plymouth State University	Michelle Hammes	Saint Cloud State University
Margery Coulson-Clark	Elizabeth City State University	Sally Hansen	Daytona State
Stephen Crescenzi	Thomas Edison State College	Cyrus Hayat	Indiana University, Purdue
Greg Culver	University of Southern Indiana	Peter Heller	Manhattan College
John Davis	Howard University	Timothy Jeske	Yakima Valley Community College
Wartyna Davis	William Paterson	Maorong Jiang	Creighton University

Joon Kil	Irvine Valley College	Erin E. Richards	Cascadia Community College
Dongsoo Kim	West Liberty State College	Michelle Rodriguez	San Diego Mesa College
Bob King	Georgia Perimeter College	Mark Roeder	North Greenville University
Edward Kissling	Ocean County College	Elgin Rogers	lvy Tech State College,
Donnamarie Landsberg	Johnson County Community		Bloomington
	College	Leticia Sara	Red Rocks Community College
Christianna Leahy	McDaniel College	Atsuko Sato	California State University,
Manwoo Lee	Millersville University		Los Angeles
David Levenbach	Arkansas State University	Robert A. Saunders	SUNY Farmingdale
Richard Levy	Salem State College	Shawn Scharf	Youngstown State University
Timothy Lim	California State University,	Jason M. Seitz	Georgia Perimeter College
	Los Angeles	John Shively	Longview Community College
Lindsey Lupo	Point Loma Nazarene University	Jonathan Schulman	Palm Beach State College
Shari MacLachlan	Palm Beach State College	Ginger Silvera	Cal State Dominguez Hills
Sharon Manna	North Lake College	Cigdem V. Sirin	University of Texas, El Paso
Samantha Mannion	Holyoke Community College	Shyam Sriram	Georgia Perimeter College
Andrae Marak	California University of PA	Robert T. Starks	Northeastern Illinois University
Patrick McGovern	Buffalo State College	Adam P. Stone	Georgia Perimeter College
Don Melton	Arapahoe Community College	Holley Tankersley	Coastal Caroline University
John Mercurio	San Diego City College	<b>Kimberly Turner</b>	College of DuPage
Melissa Michelson	Menlo College	S.P. Udayakumar	South Asian Community Center
Stephen Morris	Middle Tennessee State University		for Education and Research
Marcella Myers	Andrews University	Denise Vaughan	Bellevue College
Bruce Nesmith	Coe College	Greg Weeks	University of North Carolina,
Sunday Obazuaye	Cerritos College		Charlotte
Daniel Ogbaharya	Western Illinois University	Stephen Wright	Northern Arizona University
Evan Oxman	Lake Forest College	Ming Xia	College of Staten Island, CUNY
Don Ramlow	Kalamazoo Valley Community	Bradford Young	Ocean County College
	College	Noah Zerbe	Humboldt State University
Chauri Danlaula	Illin ais Chata I Iniversity	Annalise Zinn	Outinninia a Callana

In addition, we'd like to thank our team at Cengage: Product Team Manager Carolyn Merrill, Associate Product Manager Scott Greenan, Content Developer Rebecca Green, Senior Content Project Manager Jessica Rasile, and Senior Rights Specialist Jennifer Meyer Dare. We would also like to thank our Project Manager Escaline Aarthi with S4Carlisle Publishing Services.

**Annalisa Zinn** 

**Quinnipiac College** 

Illinois State University

We would also like to thank Professors Rekha Datta, Nancy Mezey, Ken Mitchell, Kathryn Kloby, Enoch Nappen, Vincenzo Mele, Saliba Sarsar, and Ms. Kristen Gillette of the Monmouth University Department of Political Science and Sociology for their continued friendship and support.

Sherri Replogle

## **ABOUT THE AUTHORS**

**Kevin L. Dooley** is the dean of the Honors School and associate professor of political science at Monmouth University, where he teaches courses in political theory, ethics in international relations, and comparative European politics. He received his Ph.D. from the Division of Global Affairs at Rutgers–Newark in 2005 and in 2011 was nominated for Monmouth University's Outstanding Teacher Award. He serves as the advisor to Monmouth University's Model United Nations Team.

**Joseph N. Patten** is chair of the Department of Political Science and Sociology and associate professor of political science at Monmouth University, where he teaches courses in American politics. He received his Ph.D. in political science from West Virginia University in 1996. In 2009, he received Monmouth University's Distinguished Teaching Award and was named Faculty Member of the Year at Buena Vista University in Iowa in 2002 and in 1999. He also serves as the coach of Monmouth University's Policy Debate Team and as the president of the New Jersey Political Science Association (2012).





## WHY POLITICS MATTERS: AN INTRODUCTION TO POLITICAL SCIENCE

## WHY POLITICS MATTERS

age Learning reserve

A Pakistani student Malala Yousafzai (left) is presented with the United Nations Charter by UN Secretary-General Ban Ki-moon (right) on July 12, 2013. Yousafzai was shot by the Taliban while travelling to school, targeted because of her committed campaigning for the right of all girls to an education.

## **Chapter Outline**

Introduction 2 Political Science as the Study of Power 5 **Political Science as an Academic** Discipline 14

## **Questions to Consider Before Reading** this Chapter

- 1. How have you been socialized by your family, friends, and peers? Have your political beliefs been challenged since you entered college?
- 2. What are political ideologies? What are the differences between left-wing and right-wing ideologies?
- 3. How can public-opinion polls indicate your voting preferences or what you care most about?
- 4. What is the difference between "hard power" and "soft power" in the realm of international politics?
- 5. What do you think it takes to win a policy debate? How can the skills you learn in debate help you to influence policy issues?
- 6. What are the different areas that political scientists study? Why are theories so important to their research?

## **INTRODUCTION: WHAT IS POLITICAL SCIENCE?**

There is an old adage that states one should never discuss religion or politics when attending dinner parties with casual acquaintances. Many of us have been taught that arguments focused on our cultural differences, recently enacted health care law, or partisan viewpoints frequently grow tense and might prevent friends from enjoying each others' company, or, more important, dessert. Those who adhere to the social etiquette of proper dinner conversation are probably smart to do so. A clashing of political views can bring about a stressful social environment and can cause awkward moments for unsuspecting dinner guests.

Lucky for you, however-you are in a political science class, which happens to be the most appropriate and exciting place to discuss such things. Here you are encouraged and even rewarded for respectfully engaging in a wide variety of political observations and cultural perspectives. Learning how to discuss politics in a civil manner requires practice and a thick skin. Although we are certainly not required to agree with any particular outlook, we all have a responsibility to at least try to understand the viewpoints of others. We want to see that you, the next generation of citizens, are able to articulate and understand some of the challenges that face us in the coming century and to succeed in making this world a better and more secure place.

The challenges ahead are great. But so were the challenges that faced George Washington, Abraham Lincoln, Susan B. Anthony, Alice Paul, Mohandas Gandhi, Martin Luther King, Jr., and Nelson Mandela. All of these men and women demonstrated a commitment to change, but most of all, recognized that politics matters. In fact, it is probably one of the most defining features of the human experience. We are above all else, as the great Greek philosopher Aristotle noted, "political animals." Unlike other members of the animal kingdom, humans possess the ability to reason and then through language carry that reason into action in the form of legally constructed communities. So, although you may never  ${\baseline2pt}$ have thought of yourself or your friends as being *political*—you are. You have the ability to reason, the ability to articulate your ideas, and the ability to carry those thoughts into action.

So at times politics and debates about politics can become a passionate endeavor, one that can cause disagreements over what is considered right and wrong. Has there ever been a time when a fellow student said something you completely disagreed with? Or have you ever been offended by another's comments? If so, that is because each of us has been socialized by the many groups to which we belong. Political scientist Thomas M. Magstadt has defined **political socialization** as the process by which citizens develop the values, attitudes, beliefs, and opinions that enable them to support the political system.<sup>1</sup> In other words, the various groups that define our lives contribute to the way we view the world.

Other students will likely process discussions that take place in this class differently from you because of the influences of their gender, race, religion, friends, sexual orientation, family, level of education, and socioeconomic status. These differences should be celebrated both in and beyond this class because learning from the experiences of others helps to inform our own beliefs. Perhaps Thomas Jefferson put it best when he said that he "never considered differences of opinion in politics, in religion, in philosophy, as cause for withdrawing from a friend."

Some political scientists examine how our differences influence whether and how we participate in the political process. Tables 1.1 to 1.3 highlight how race, gender, and education impact the voter turnout rates of college-age voters. These tables reveal that some college-age students belonging to certain social groups are more likely to vote than others. On closer inspection, Table 1.1 shows that young African Americans were more likely to vote than college-age students from other ethnic or racial groups. Approximately 54 percent of college-age African American voters participated in the 2012 presidential election, compared with 46 percent of Caucasians, 37 percent of young Hispanics, and 36 percent of young Asians.

Table 1.2 also indicates that college-age women were more likely to vote than college-age men in the 2012 election, with 49 percent of 18- to 29-year-old women

	Caucasian (%)	African American (%)	Asian American (%)	Latino (%)
1992	53	42	38	38
1996	40	40	35	29
2000	41	41	32	30
2004	50	50	32	33
2008	51	60	41	40
2012	46	54	36	37

TABLE 1.1. Youth Voting: The Percentages of 18- to 29-Year-Old Citizens Who Voted in Recent Presidential Elections<sup>2</sup>

Why do you believe some racial or ethnic groups vote in higher numbers than others? Why do you believe young people are more politically active than in previous decades?

### Political socialization:

The process by which one's attitudes and values are shaped.

## TABLE 1.2. Youth Voting: The Percentages of 18- to 29-Year-Old Males and Females Who Voted in Recent PresidentialElections<sup>3</sup>

	Young Women (%)	Young Men (%)
1992	54	50
1996	43	36
2000	43	38
2004	52	46
2008	55	47
2012	49	42

Why do you believe that college-age women are more likely to vote than college-age men?

TABLE 1.3. Youth Voting: The Percentage of 18- to 29-Year-Old College-Educated and Non-College-Educated CitizensWho Voted in Recent Elections<sup>4</sup>

	College Educated (%)	No College Education (%)	Difference in Voting Turnout (%)
1992	67.2	36.4	30.9
1996	52.0	26.0	26.0
2000	51.8	26.7	25.1
2004	61.1	33.7	27.4
2008	62.1	35.9	26.2
2012	55.9	28.6	27.3

Why do you believe that educated college-age voters are more likely to vote than young people who do not attend college?

## and 42 percent of college-age men casting a ballot. However, the most dramatic predictor of whether a young person is likely to vote is educational attainment. Table 1.3 highlights that young people with at least some experience in college (56 percent of voter turnout) were much more likely to vote in the 2012 presidential election than young people without any college experience (29 percent of voter turnout).

Although you may never have considered the influence that all or some of these groups have had on your life, certain political scientists have. For example, there are a number of political scientists who conduct and then analyze the results of public-opinion polls. **Public-opinion polls** allow individuals to see how certain *demographics* view specific political issues or problems. **Demographics**, which refer to some of the ways people are categorized (e.g., women, people of color, small business owners, union members, 18- to 24-year-olds with college degrees, Catholics, etc.), allow political scientists to determine if relationships exist between one's group and how one feels about a number of political issues. Public-opinion polls may ask you

**Public-opinion polls:** Surveys that seek to determine how different groups of people perceive political issues.

#### **Demographics:**

Classifications of different groups of people that usually refer to one's race, class, ethnicity, gender, level of wealth, age, place of residence, employment status, level of education, and so on.



College sophomore Denzel Fleming signs a voting pledge during a Rock the Vote road trip bus tour on the University of North Carolina's Charlotte campus. Rock the Vote visits campuses across the country to encourage voter registration and political awareness and participation among young people.

your age, race/ethnicity, religion, and level of education and what you think about health care reform, gun control, homeland security, or President Obama. By answering these questions, political scientists can determine if there are relationships between one's demographic and one's opinions about the political world.

So in this very abstract way, you are already political. What this text attempts to do is to help you see that politics matters in a much deeper sense than the material covered on an exam or expressed in a research paper (although these also matter for obvious reasons).

## POLITICAL SCIENCE AS THE STUDY OF POWER

In his 1936 book, political scientist Harold Lasswell said that "politics is who gets what, when, and how." This very simple expression sums up the essence of this book and the entire field of political science at large. Political science is in many respects concerned with the study of *power*. In this book, you will learn about how important ancient and modern political theorists viewed power and how political leaders exercise it in the current era. Political power can broadly be defined as the ability

## CourseReader ASSIGNMENT

Log in to **www.cengagebrain.com** and open CourseReader to access the reading:

### "Bowling Alone: The Collapse and Revival of American Community" by Robert D. Putnam

Robert Putnam reminds us that political systems need to be fortified by strong social communities in this popular and important reading. Putnam examined over 500,000 interviews before concluding that Americans are increasingly "bowling alone" rather than joining bowling leagues and other social groups, and have been disengaging

from political involvement. He warns that a breakdown of social community can have a negative effect on our democracy.

- Do you agree that Americans are becoming more isolated and less inclined to join social groups? If so, why do you think this is occurring?
- Do you belong to any social groups?

to get others to do what they would not do on their own. Socrates, Plato, Aristotle, and other ancient philosophers believed political power should only be applied as a means to the end of social justice. These thinkers laid the theoretical foundation of Western civilization by maintaining that political power should be brandished by the wisest and most ethical members of society because leaders, above all else, have a responsibility to promote social harmony and the public good. They believed that only those educated on the virtues of justice should wield power because they will more likely place the public's interest over their own.

Niccolò Machiavelli (1469–1527), in his book *The Prince*, wandered away from this Greek view by asserting that "power" and not "justice" is the most important unit of analysis in politics. He claimed that in order to truly comprehend the nuances of politics, it is more important to have an understanding of how leaders can best acquire and maintain political control over the populace. Machiavelli's amoral approach to politics stressed that the primary purpose of government is to prevent civil unrest and to promote security at home and abroad.

The discussion of how governmental power should be structured is later joined by some of the leading **social contract theorists**, such as Thomas Hobbes, John Locke, and Jean-Jacques Rousseau. These theorists focused mostly on the power relationship between government and the individual. In Chapter 3, we highlight how social contract theorists typically make observations on: (1) whether humans are more generally cooperative or competitive with one another, (2) the types of problems that are likely to occur in the absence of government, and (3) their preferred form of government for addressing these problems. Thomas Hobbes's (1588–1679)

#### **Social contract**

**theorists:** Thinkers beginning in the seventeenth century who sought to explain human nature by looking at the terms by which governments are set up in the first place.

Copyright 2015 Cengage Learning. All Rights Reserved. May not be copied, scanned, or duplicated, in whole or in part. Due to electronic rights, some third party content may be suppressed from the eBook and/or eChapter(s). Editorial review has deemed that any suppressed content does not materially affect the overall learning experience. Cengage Learning reserves the right to remove additional content at any time if subsequent rights restrictions require it.

classic text *Leviathan* sets out to prove the correctness of Machiavelli's contention that power rather than justice is the most important variable in studying politics. It is here, Hobbes argued, that the purpose of political power should not be used to primarily promote ethical governance, but should instead be used to promote the more limited goal of preventing social turmoil and war. Hobbes's social contract advocated for an *authoritarian system of government*, where individuals surrender all political power to the government so that government can more efficiently prevent civil unrest and violence. In Table 1.4, we include definitions of different types of political systems and the economic systems and ideologies that influence them.

## TABLE 1.4. Different Types of Political Systems, Economic Systems, and Political Ideologies<sup>5</sup>

Anarchism	A doctrine that advocates the abolition of organized authority. Anarchists believe all government is corrupt and evil.
Authoritarianism	A form of government in which a large amount of authority is invested in the state, at the expense of individual rights.
Autocracy	A government in which almost all power rests with the ruler. The Soviet Union under Stalin and Iraq under Saddam Hussein are examples of autocracies.
Capitalism	An economic system in which the means of production, such as land and factories, are privately owned and operated for profit.
Communism	The political system under which the economy, including capital, property, major industries, and public services, is controlled and directed by the state and in that sense is "communal."
Conservatism	A political philosophy that tends to support the status quo and advocates change only in moderation. Conservatism upholds the value of tradition and seeks to preserve all that is good about the past.
Direct democracy	Democracy in which the people as a whole make direct decisions, rather than have those decisions made for them by elected representatives.
Fascism	A nationalistic, authoritarian, anticommunist movement founded by Benito Mussolini in 1919. Fascism was a response to the economic hardship and social disorder that ensued after the end of World War I.
Feminism	The theory of the political, economic, and social equality of the sexes.
Feudalism	A medieval form of social economic and political organization. Feudalism had a pyramidal structure. At its head was the king; below the king was a hierarchal chain of nobles, down to the lords of individual manors—the manor being the basic social and economic unit.
Liberal	A person who believes it is the duty of government to ameliorate social conditions and create a more equitable society.
Libertarianism	The belief that government should not interfere in the lives of citizens, other than to provide police and military protection.
Marxism	The theory developed by Karl Marx and Friedrich Engels, which became the official doctrine of communism. According to Marxism, the key to how society operated was economics; all other aspects of society, such as politics and religion, were conditioned by the economic system.
Meritocracy	A society in which power is wielded by those who deserve it, based on their talents, industry, and success in competition, rather than through membership in a certain class or possession of wealth.
Monarchy	Form of rulership whereby a queen or king, empress or emperor holds absolute or limited power, usually inherited.
Nation-state	Usually used to describe the modern state, but strictly speaking applies only when the whole population of a state feels itself to belong to the same nation.
Oligarchy	A political system that is controlled by a small group of individuals, who govern in their own interests.

(Continued)

Copyright 2015 Cengage Learning. All Rights Reserved. May not be copied, scanned, or duplicated, in whole or in part. Due to electronic rights, some third party content may be suppressed from the eBook and/or eChapter(s). Editorial review has deemed that any suppressed content does not materially affect the overall learning experience. Cengage Learning reserves the right to remove additional content at any time if subsequent rights restrictions require it.

### TABLE 1.4. (continued)

Pacifist	The doctrine holding that war is never justified and that all disputes between nations should be settled peacefully.
Plutocracy	Government by the wealthy, or a group of wealthy people who control or influence a government.
Representative democracy	A system of government in which the people elect agents to represent them in a legislature.
Republic	The form of government in which ultimate power resides in the people, who elect representatives to participate in decision making on their behalf.
Social contract	The political theory that a state and its citizens have an unwritten agreement between them, a social contract into which they voluntarily enter.
Socialism	A political system in which the means of production, distribution, and exchange are mostly owned by the state, and used, at least in theory, on behalf of the people.
Terrorism	The pursuit of a political aim by means of violence and intimidation.
Theocracy	A state or government that is run by priests or clergy.
Totalitarianism	A system of government where the ruling authority extends its power over all aspects of society and regulates every aspect of life.
Utilitarianism	A political philosophy developed in England in the nineteenth century by thinkers such as Jeremy Bentham and John Stuart Mill, which says that the duty of government is to promote the greatest good for the greatest number.

Other social contract theorists such as John Locke and Jean-Jacques Rousseau believed that power should be more widely dispersed among the people in *democratic* systems of government in order to achieve social harmony. John Locke (1632–1704) advocated for a representative democracy where government possesses limited powers and where the people select representatives to make decisions on their behalf. Locke's writings were particularly influential to the American Framers as they grappled with how best to form a new government in the late eighteenth century. Thomas Jefferson referenced Locke when he penned the U.S. Declaration of Independence in what has become one of the most widely cited sentences ever written: "We hold these truths to be self-evident that all men are created equal, that they are endowed by their Creator with certain unalienable Rights, that among these are life, liberty, and the pursuit of Happiness." It was this line of thinking that also paved the way for the expansion of political rights for ethnic minorities and women (see Theory and Practice box about female judges). Jean-Jacques Rousseau (1712–1778), on the other hand, criticized representative democracies, claiming they facilitate the exploitation of the masses by political elites. He instead called for universal political participation in a direct democracy form of government, where the people as a whole make decisions for themselves.

Leading experts in American politics discuss political power in the context of the executive, legislative, and judicial branches of government. One major debate involves whether the American president has taken on "imperial" qualities and whether the executive branch has too much power over the other two branches of government. Famed presidential scholar Richard Neustadt, known by some as the American Machiavelli, argues that presidents must above all else have the political skills to "persuade" the Washington establishment and the American public to act on their agendas.

## THEORY AND PRACTICE

Do Female Justices View Legal Cases Differently Than Male Justices?

Justice Elena Kagan was confirmed with little fanfare to the U.S. Supreme Court in August 2010. Out of the 112 justices who have served on the Court throughout our history, only four of them have been women. Why is that? Do female justices interpret legal facts differently from their male counterparts? There is some research in political science suggesting that female justices might frame legal issues differently than male justices when hearing oral arguments and drafting legal opinions.

Supreme Court Justice Ruth Bader Ginsberg, for example, was most vocal on a case questioning whether school officials in Arizona could legally strip-search a 13-year-old female student while searching for drugs. Whereas some of the other male justices downplayed the significance of the girl's embarrassment, Justice Ginsburg, as the lone female justice on the Supreme Court at the time, empathized with the girl's humiliation. In a subsequent interview, Justice Ginsberg stated "they [meaning the other male judges] have never been a 13-year-old girl . . . it's a very sensitive age for a girl. I don't think my colleagues, some of them, quite understood."<sup>6</sup>

The first woman to serve on the Supreme Court was Sandra Day O'Connor, who was nominated to the bench by President Ronald Reagan in 1981. In 2010, Justice Kagan joined two other female justices—Justice Ruth Bader Ginsberg, appointed by President Clinton in 1993, and Justice Sonia Sotomayor, nominated by President Obama in 2009. Having three female justices serve together could impact the culture of the Court. One 2006 study of the U.S. business world, for instance, found that a critical mass of "three or more women can cause a fundamental change in the boardroom and enhance corporate governance."<sup>7</sup> Having three female justices deliberating on cases might, then, expand the range of perspectives brought to legal discussions. However, another study in political science found no difference between the judicial decisions of male and female judges at the lower federal court level, except on the issue of sexual discrimination, where female judges were 10 percent more likely to rule in favor of the party bringing the suit.<sup>8</sup> In the United States, approximately 26.6 percent of all federal and state judges are women.<sup>9</sup> In comparison, women make up 26 percent of all judges in Canada, 46 percent of the judges in Finland, and 54 percent of the judges in France.<sup>10</sup>

ISTOCKPHOTO.COM/LORENZO COLLORETA

9

WHY POLITICS MATTERS

Is the gender composition of the U.S. Supreme Court relevant to how it makes decisions?

Should a person's gender, race, and/or ethnicity be taken into account when selecting judges? Why or why not?